

U.S. DEPARTMENT OF DEFENSE

SMALL BUSINESS INNOVATION RESEARCH (SBIR) PROGRAM **FAST TRACK APPLICATION COVER SHEET**

Failure to fill in all appropriate spaces may cause your application to be disqualified

To qualify for the SBIR Fast Track, a company must submit a Fast Track application and meet the other requirements detailed in Section 4.5 of the solicitation. This form, when completed and signed by both the company and its investor, should be included as the cover sheet of the Fast Track application. Instructions on where to submit the application are on the back of this form.

TOPIC #: _____ CONTRACT #: _____ PHASE I EFFECTIVE START DATE: _____
 SPONSORING DOD COMPONENT: _____ PHASE I COMPLETION DATE: _____

PHASE I TITLE: _____

FIRM NAME: _____

MAIL ADDRESS: _____

CITY: _____ STATE: _____ ZIP: _____

TAXPAYER IDENTIFICATION NUMBER: _____

NAME OF OUTSIDE INVESTOR: _____

MAIL ADDRESS: _____

CITY: _____ STATE: _____ ZIP: _____

TAXPAYER IDENTIFICATION NUMBER: _____

BUSINESS CERTIFICATION:

- > Has your company ever received a Phase II SBIR or STTR award from the federal government (including DoD)?
 If yes, the minimum matching rate is \$1 for every SBIR dollar. If no, the minimum matching rate is 25 cents for every SBIR dollar. (Matching rates differ slightly for BMDO applicants – see the BMDO section of this solicitation)
- > Does the outside funding proposed in this application qualify as a "Fast Track investment", and does the investor qualify as an "outside investor", as defined in DoD Fast Track Guidance (Reference F)? If you have any questions about this, call the DoD SBIR Help Desk (800-382-4634). The Help Desk will refer any policy and/or substantive questions to appropriate DoD personnel for an official response.

YES
☐

NO
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Caution: knowingly and willfully making any false, fictitious, or fraudulent statements or representations above may be felony under the Federal Criminal False Statement Act (18 U.S.C. Sec 1001), punishable by a fine of up to \$10,000, up to five years in prison, or both.

PROPOSED SBIR AND MATCHING FUNDS:

- > Proposed DoD SBIR funds for the interim effort: \$ _____
- > Proposed DoD SBIR funds for Phase II: \$ _____
- > Total proposed DoD SBIR funds (interim + Phase II): \$ _____
- > Amount of matching funds (cash) the investor will provide: \$ _____

By signing below, the parties are stating that the outside investor will provide matching funds, in the amount listed above, contingent on the company's selection for Phase II SBIR award. If the matching funds are not transferred from the investor to the company within 45 days after DoD has notified the company that it has been selected for Phase II award, the company will be ineligible to compete for a Phase II award not only under the Fast track but also under the regular Phase II competition, unless a specific written exception is granted by the Component SBIR program manager.

COMPANY OFFICIAL

OUTSIDE INVESTOR OFFICIAL

NAME: _____

NAME: _____

TITLE: _____

TITLE: _____

TELEPHONE: _____

TELEPHONE: _____

SIGNATURE

DATE

SIGNATURE

DATE

Nothing on this page is classified or proprietary information/data

INSTRUCTIONS FOR COMPLETING FAST TRACK COVER SHEET

SUBMISSION:

Submit the Fast Track application, including the three items discussed in Section 4.5(b), to the technical monitor for your Phase I project. In addition, submit a copy of the entire application to the Program Manager of the DoD Component funding the SBIR project (addresses below). Finally, send a copy of this application cover sheet, when completed, to the DoD SBIR Program Manager, OSD/SADBU, 1777 N. Kent Street, Suite 9100, Arlington, VA 22209. Do not submit other items in the Fast Track application to the DoD SBIR Program Manager.

Department of the Army

Dr. Kenneth A. Bannister
Army SBIR Program Manager
Army Research Office - Washington
5001 Eisenhower Avenue, Room 8N23
Alexandria, VA 22333-0001

Ballistic Missile Defense Organization

ATTN: ST/SBIR (Jeff Bond)
7100 Defense Pentagon,
FOB #2
Washington, DC 20301-7100

Department of the Navy

ONR 362 SBIR
ATTN: Vincent Schaper
800 N. Quincy Street
Arlington, VA 22217-5660

Office of the Director, Defense Research and Engineering

Lab Management & Tech Transition
ATTN: SBIR Program Manager
3040 Defense Pentagon
Washington, DC 20301-3040

Department of the Air Force

AFPL/XPTT, Steve Guilfoos
1864 4th Street, Suite 1, Bldg. 15
Wright Patterson AFB, OH 45433-7131

Defense Threat Reduction Agency

ATTN: AM/SADBU, Mr. Bill Burks
45045 Aviation Drive
Dulles, VA 20166-7517

Defense Advanced Research Projects Agency

ATTN: SBIR Program Manager Ms. C. Jacobs
3701 N. Fairfax Drive
Arlington, VA 22203-1714

US Special Operations Command

ATTN: SOSB/Ms Karen L. Pera
7701 Tampa Point Blvd.
MacDill AFB, FL 33621-5323

Chemical and Biological Defense Program

Dr. Kenneth A. Bannister
Army Research Office - Washington
5001 Eisenhower Avenue, Room 8N23
Alexandria, VA 22333-0001

National Imagery and Mapping Agency

Derrick Riddle
SBIR Program Manager
4600 Sangamore Road
ATTR, MS-D82
Bethesda, MD 20816

ELECTRONIC VERSIONS OF THIS FORM ARE AVAILABLE.

This form is available in HTML format, which allows you to complete the form electronically and print out a hard copy to submit to DoD – see <http://www.sbirstr.com/sbirmisc/refb/form.html>. Also, additional hard copies of this form may be obtained from:

DoD SBIR Support Services
7000 North Broadway
Building 1, Suite #108
Denver, CO 80221
(866) 216-4095

REF B